

Unit IDA - Managing health and safety

Reference information provided, in particular web links, was correct as of 03/04/17.

Contents

Element IA1 - Principles of health and safety management.....	2
Element IA2 - Regulating health and safety	3
Element IA3 - Loss causation and incident investigation	4
Element IA4 - Measuring and reviewing health and safety performance.....	5
Element IA5 - The assessment and evaluation of risk.....	6
Element IA6 - Risk control.....	7
Element IA7 - Organisational factors	8
Element IA8 - Human factors	9
Element IA9 - The role of health and safety practitioner.....	10
Health and Safety Incidents	10

Element IA1 - Principles of health and safety management

Occupational Health Services Convention C161, 1985, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C161

Promotional Framework for Occupational Safety and Health Recommendation R197, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:R197

Occupational Safety and Health Convention, C155, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C155

Occupational Safety and Health Recommendation, R164, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:3_12502:NO

BS OHSAS 18001:2007 Occupational Health and Management Systems: Specification

Promotional Framework for Occupational Safety and Health Convention, C187, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C187

Promotional Framework for Occupational Safety and Health Recommendation, R197, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:3_12534:NO

Encyclopaedia of Occupational Health and Safety, ILO, <http://www.iloencyclopaedia.org/preface-69563>

ILO-OSH-2001 Guidelines on Occupational Health and Safety Management Systems, ILO, 2001

http://www.ilo.org/global/publications/ilo-bookstore/order-online/books/WCMS_PUBL_9221116344_EN/lang--en/index.htm

Occupational health and safety management systems – requirements (OHSAS 18000 series)

OHSAS 18001 - ISBN: 978-0-5805-9404-5, OHSAS 18002 - ISBN: 978-0-5806-2686-9

ISO 9001:2015 Series Quality Management Systems - Requirements

ISO 14001:2015 Series Environmental Management Systems - Requirements



Element IA2 - Regulating health and safety

Occupational Health Services Convention C161, 1985, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C161

Promotional Framework for Occupational Safety and Health Recommendation R197, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:R197

Occupational Safety and Health Convention, C155, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C155

Occupational Safety and Health Recommendation, R164, 1981, ILO, BS OHSAS 18001:2007

Occupational Health and Management Systems: Specification

Promotional Framework for Occupational Safety and Health Convention, C187, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C187

Promotional Framework for Occupational Safety and Health Recommendation, R197, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:3_12534:NO

Enforcement Policy Statement, HSE41, <http://www.hse.gov.uk/pubns/hse41.pdf>

Element IA3 - Loss causation and incident investigation

List of Occupational Diseases Recommendation (No. 194), R194, 2002,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_INSTRUMENT_ID:312532

Protocol of 2002 to the Occupational Safety and Health Convention, P155, 1981,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:312338:NO

Occupational Health Services Convention C161, 1985, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C161

Occupational Health Services Recommendation R197, 2006, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:R197

Occupational Safety and Health Convention, C155, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C155

Occupational Safety and Health Recommendation, R164, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:312502:NO

Bird F E, 1974. Management guide to Loss Control, Institute Press, Atlanta, Georgia, USA

IIRSM Code of Ethics, <http://www.iirsm.org/code-ethics>

IOSH Code of Conduct, <http://wwwiosh.co.uk/About-us/Regulating-the-profession.aspx>

Leading health and safety at work, INDG417, <http://www.hse.gov.uk/pubns/indg417.pdf>



Element IA4 - Measuring and reviewing health and safety performance

Guidelines for auditing management systems, BS EN ISO 19011:2011, ISBN: 978-0-5807-7524-6

Reason J (1997) 'Managing the Risks of Organisational Accidents'

Recording and notification of occupational accidents and diseases, ILO Code of Practice,

http://www.ilo.org/wcmsp5/groups/public/-/-ed_protect/-/-protrav/-/safework/documents/normativeinstrument/wcms_107800.pdf

Bird F E, 1974. Management guide to Loss Control, Institute Press, Atlanta, Georgia, USA

Investigating accidents and incidents – a workbook for employers, unions, safety representatives and safety professionals, HSG245, HSE, <http://www.hse.gov.uk/pubns/hsg245.pdf>



Element IA5 - The assessment and evaluation of risk

International Labour Standards, Prevention of Major Industrial Accidents Convention, C174,
International Labour Organization, Geneva, 1993,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_INSTRUMENT_ID:312319

Prevention of Major Industrial Accidents Recommendation (No. 181), R181, 1993,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:312519:NO

Guidelines for auditing management systems, ISO 19011:2011, ISBN: 978-0-5807-7524-6

Element IA6 - Risk control

Occupational Safety and Health Convention, C155, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C155

Occupational Safety and Health Recommendation, R164, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:312502:NO

Ambient factors in the workplace, ILO, Code of Practice (CoP), ISBN 92-2-11628-X

http://www.ilo.org/safework/info/standards-and-instruments/WCMS_107729/lang--en/index.htm

Guidelines on Occupational Health and Safety Management Systems, ILO, 2001

http://www.ilo.org/global/publications/ilo-bookstore/order-online/books/WCMS_PUBL_9221116344_EN/lang--en/index.htm

Prevention of major industrial accidents, ILO, Code of Practice (CoP)

http://www.ilo.org/safework/info/standards-and-instruments/codes/WCMS_107829/lang--en/index.htm

Training package on workplace risk assessment and management for small and medium-sized enterprises, ILO, ISBN: 978-92-2-127065-2,

http://www.ilo.org/safework/info/instr/WCMS_215344/lang--en/index.htm

Reducing risks, protecting people, R2P2 – HSE's decision-making process, ISBN: 978-0-7176-2151-0

<http://www.hse.gov.uk/risk/theory/r2p2.pdf>

Risk assessment, A brief guide to controlling risks in the workplace, INDG163, HSE,

<http://www.hse.gov.uk/pubns/indg163.pdf>

Element IA7 - Organisational factors

Occupational Safety and Health Convention, C155, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C155

Occupational Safety and Health Recommendation, R164, 1981, ILO,

http://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO:12100:P12100_INSTRUMENT_ID:312502:NO

Encyclopaedia of Occupational Health and Safety, ILO, <http://www.iloencyclopaedia.org/preface-69563>

Hersey-Blanchard Situational Leadership Theory, <http://www.leadership-central.com/situational-leadership-theory.html#axzz3p0SLB4U1>

Occupational health and safety management systems – requirements (OHSAS 18000 series), OHSAS 18001 - ISBN: 978-0-5805-9404-5, OHSAS 18002 - ISBN: 978-0-5806-2686-9

Training Package on workplace risk assessment and management for small and medium-sized enterprises, ILO Guidance, ISBN: 978-92-2-127065-2,

http://www.ilo.org/safework/info/instr/WCMS_215344/lang--en/index.htm

Controlling the risks in the workplace, <http://www.hse.gov.uk/risk/controlling-risks.htm>

Guidance on permit-to-work systems. A guide for the petroleum, chemical and allied industries, HSG250, HSE Books, ISBN: 978-0-7176-2943-5, <http://www.hse.gov.uk/pubns/books/hsg250.htm>

Risk assessment, A brief guide to controlling risks in the workplace, INDG163, HSE Books,

<http://www.hse.gov.uk/pubns/indg163.htm>



Element IA8 - Human factors

Occupational health and safety management systems – requirements (OHSAS 18000 series)

OHSAS 18001, ISBN: 978-0-5805-9404-5 - OHSAS 18002, ISBN: 978-0-5806-2686-9

Leading health and safety at work, <http://www.hse.gov.uk/pubns/indg417.pdf>

Element IA9 - The role of health and safety practitioner

IIRSM Code of Ethics, <http://www.iirsm.org/code-ethics>

IOSH Code of Conduct, <http://wwwiosh.co.uk/About-us/Regulating-the-profession.aspx>

Leading health and safety at work, INDG417, <http://www.hse.gov.uk/pubns/indg417.pdf>

Reducing error and influencing behaviour, HSG48, <http://www.hse.gov.uk/pubns/books/hsg48.htm>

Health and Safety Incidents

Union Carbide, Bhopal, <http://www.bhopal.com/>

Buncefield: Why did it happen?, <http://www.hse.gov.uk/comah/buncefield/buncefield-report.pdf>

Icmesa chemical company, Seveso, Italy, HSE UK,
<http://www.hse.gov.uk/comah/sragtech/caseseveso76.htm>

BP Texas City Plant Explosion Trial, <http://www.texascityexplosion.com/>

Three Mile Island Accident, <http://www.world-nuclear.org/information-library/safety-and-security/safety-of-plants/three-mile-island-accident.aspx>